



HAYWOOD COUNTY BOARD OF COMMISSIONERS

AGENDA REQUEST

*Must be presented to the County Manager's Office
NO LATER THAN 5 P.M. FRIDAY THE WEEK BEFORE THE MEETING*

DATE OF REQUEST: November 1, 2016

FROM: Julie Davis, Finance Director

MEETING DATE REQUESTED: November 7, 2016

*Regular meetings: First (1st) Monday of the month at 9:00 am
Third (3rd) Monday of the month at 5:30 pm*

SUBJECT: Smoky Mountain Center fiscal monitoring report for the quarter ended September 30, 2016.

REQUEST: Report only

BACKGROUND:

S.L. 2006-142 amended G.S. 122C-117(c) to require the area director and area authority finance director to submit quarterly reports to the county finance officer. The county finance officer is then to submit the report to the Board of County Commissioners at its next regularly scheduled meeting.

IMPLEMENTATION PLAN:

(How and when will staff undertake the action?)

FINANCIAL IMPACT STATEMENT:

(What is the cost? Where is the money coming from? Optional or mandated?)

SUPPORTING ATTACHMENTS: YES NO HOW MANY? 1

PERSON MAKING PRESENTATION AT MEETING: Julie Davis

TITLE: Finance Director

PHONE NUMBER: 828-452-6724

E-MAIL: jhdavis@haywoodnc.net

LME / MCO NAME:

VAYA HEALTH

FOR THE PERIOD ENDING:

September 30, 2016

of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====>

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1. REPORT OF BUDGET VS. ACTUAL

ITEM	Basis of Accounting: (check one)	Modified Accrual	X	PRIOR YEAR		CURRENT YEAR		BALANCE (Col. 3-4)	ANNUALIZED PERCENTAGE **
				(1) 2015-2016 BUDGET	(2) ACTUAL	(3) BUDGET	(4) ACTUAL YR-TO-DATE		
REVENUE									
Service Fees from LME-Delivered Services				370,416	385,087	50,000	114,233	(64,233)	913.86%
Medicaid Pass Through Funds				60,000	50,344	60,000	32,686	27,314	217.91%
Interest Earned				141,000	229,841	211,000	54,395	156,605	103.12%
Rental Income				53,772	53,772	53,772	13,443	40,329	100.00%
Budgeted Fund Balance * (Detail in Item 4, below)				17,736,308	-	35,558,863	-	35,558,863	0.00%
Other Local				4,670,325	3,734,467	2,451,849	599,401	1,852,448	97.79%
Total Local Funds				23,031,821	4,453,511	38,385,484	814,158	37,571,326	8.48%
County Appropriations (by county, includes ABC Funds):									
Alexander County				37,825	37,825	50,000	12,500	37,500	100.00%
Alleghany County				115,483	115,483	115,483	28,871	86,612	100.00%
Ashe County				189,566	189,566	189,566	47,392	142,175	100.00%
Avery County				89,600	89,600	89,600	22,400	67,200	100.00%
Buncombe County				600,000	600,000	600,000	150,000	450,000	100.00%
Caldwell County				118,538	119,377	119,628	29,757	89,871	99.50%
Cherokee County				75,000	75,000	75,000	18,750	56,250	100.00%
Clay County				15,000	15,000	15,000	3,750	11,250	100.00%
Graham County				6,000	6,000	6,000	1,500	4,500	100.00%
Haywood County				101,900	116,762	101,900	25,258	76,642	99.15%
Henderson County				528,612	528,612	528,612	132,153	396,459	100.00%
Jackson County				123,081	123,081	123,081	30,770	92,311	100.00%
Macon County				106,623	106,623	106,623	26,656	79,967	100.00%
Madison County				30,000	30,000	30,000	7,500	22,500	100.00%
McDowell County				67,856	67,856	67,856	16,964	50,892	100.00%
Mitchell County				18,000	18,000	18,000	4,500	13,500	100.00%
Polk County				76,991	78,314	77,991	19,656	58,335	100.81%
Rutherford County				102,168	102,168	102,168	25,542	76,626	100.00%
Swain County				25,000	25,799	32,260	6,643	25,617	82.37%
Transylvania County				99,261	99,261	99,261	24,815	74,446	100.00%
Watauga County				171,195	171,195	171,194	42,799	128,396	100.00%
Wilkes County				264,200	266,718	266,810	66,613	200,197	99.87%
Yancey County				26,000	26,000	26,000	6,500	19,500	100.00%
Total County Funds				2,987,899	3,008,241	3,012,033	751,286	2,260,746	99.77%
LME Systems Admin. Funds (Cost Model)									
DMH/DD/SAS Administrative Funds (% basis)				2,441,587	2,441,587	-	-	-	-
DMH/DD/SAS Services Funding				64,720,453	62,587,966	54,619,285	11,759,411	42,862,874	86.10%
DMA Capitation Funding				304,657,129	307,814,900	314,745,445	78,664,610	236,080,835	99.97%
DMA Risk Reserve Funding				6,217,492	6,258,689	6,423,376	1,572,762	4,850,614	97.94%
All Other State/Federal Funds				101,000	80,566	128,000	56,278	71,722	175.87%
Total State and Federal Funds				378,137,661	379,183,708	375,916,106	92,050,061	283,868,045	102.66%
TOTAL REVENUE				404,157,381	386,645,460	417,313,623	93,615,505	323,698,117	89.73%
EXPENDITURES:									
System Management/Administration/Care Coordination				52,065,620	46,275,865	57,173,485	10,104,954	47,068,531	70.70%
LME Provided Services				4,042,644	2,850,572	2,240,935	943,406	1,297,529	168.40%
Provider Payments (State Funds)				335,399,045	324,388,145	346,246,877	76,350,017	269,896,860	88.20%
Provider Payments (Federal Funds)				7,853,268	6,677,173	6,995,011	2,647,747	4,347,264	151.41%
Provider Payments (County/Local)				3,235,764	3,016,429	3,229,290	589,954	2,659,336	70.60%
All Other				1,561,040	1,296,009	1,428,025	314,299	1,113,726	88.04%
TOTAL EXPENDITURES				404,157,381	384,504,193	417,313,623	90,930,377	326,383,246	87.16%
Net Income (from Operations and Risk Reserve)					2,141,267		2,685,126		
Beginning Unrestricted/Unassigned Fund Balance					67,730,176		8,031,041		
Balance in Restricted DMA Risk Reserve					24,877,639		26,450,400		
Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures				1.99%	8,031,041	1.41%	5,885,921	(See Note Below about FB)	
2. CURRENT CASH POSITION									
Current Cash in Bank (Including Risk Reserve)					120,888,788				
3. SERVICE EXCEPTIONS (Provided Based on System Capability)									
Services authorized but not billed (IBNR)					16,435,197				

4. DETAIL ON BUDGETED FUND BALANCE		Budgeted	Utilized Year-to-Date	Remaining Balance	% Utilized
Payments to Providers	FY1516 MOE unspent-alloc to FY1617	138,039	0	138,039	0.00%
Payments to Providers	Single Stream Replacement Funding	20,512,488	5,128,122	15,384,366	100.00%
Other Initiatives	C3@356 Urgent Care Funding	2,850,000	412,984	2,437,016	57.96%
Other Initiatives	Western Region Crisis Expansion	100,000		100,000	0.00%
Other Initiatives	Northern Region Crisis Expansion	9,500	9,500	-	400.00%
Other Initiatives	Child Facility Based Crisis	500,000	0	500,000	0.00%
Other Initiatives	FBC in Caldwell County	100,000	0	100,000	0.00%
Other Initiatives	IDD Crisis Service Expansion	1,000,000	0	1,000,000	0.00%
Other Initiatives	Integrated Collab Care Svc Initiatives	4,350,579	339,314	4,011,265	31.20%
Other Initiatives	Admin Office - Relocation Fund	38,895	42,315	(3,420)	435.17%
Other Initiatives	Technology Enabled Care and Expo	61,900	14,862	47,038	96.04%
Other Initiatives	Youth Villages LifeSet Program	629,027		629,027	0.00%
Other Initiatives	Mediation, Transcription & Other Legal	470,000	19,735	450,265	16.80%
Other Initiatives	Equipment & Leasehold Improvements	807,338	3,500	803,838	1.73%
Other Initiatives	TCLL Legal Aid	450,000	37,500	412,500	33.33%
Other Initiatives	Replacement Bridge Funding	192,467	48,117	144,350	100.00%
Other Initiatives	Data Security Initiatives	0	26,994	(26,994)	-
Other Initiatives	Medicaid Reform Readiness	125,000	0	125,000	0.00%
Other Initiatives	Community Engagement/Awareness	619,130	33,450	585,680	21.61%
Other Initiatives	Peer Run Recovery Centers	75,000	2,000	73,000	10.67%
Other Initiatives	MAHEC	330,000	1,198	328,802	1.45%
Other Initiatives	Jail Diversion	65,000	0	65,000	0.00%
Other Initiatives	Medication Assisted Tx for Opioid Users	250,000	0	250,000	0.00%
Other Initiatives	Project Search	70,000	0	70,000	0.00%
Other Initiatives	Substance Use Recovery Housing	200,000	0	200,000	0.00%
Other Initiatives	Veteran's Program	225,000	0	225,000	0.00%
Other Initiatives	Rebranding	1,389,500	51,776	1,337,724	14.90%
Total Fund Balance Appropriated/ Utilized to Date		35,558,863	6,171,366	29,387,497	69.42%

* We certify (a) this report to contain accurate and complete information, (b) explanations are provided for any expenditure item with an annualized expenditure rate greater than 110% and for any revenue item with an annualized receipt rate of less than 90%, and (c) a copy of this report has been provided to each county manager in the catchment area".

LME/MCO Director _____ Date _____ LME/MCO Finance Officer _____ Date _____ Area Board Chair _____ Date _____

Quarterly Fiscal Monitoring Report - Explanation of Revenue and Expenditure Variances

VAYA HEALTH

For the period ending: September 30, 2016

ITEM Explanation

Revenues Less than 90%

Fund Balance Appropriated (0.00%)
Swain County MOE (82.4%)

Fund Balance Appropriation is budgeted - but no actual will be recorded on the financial statements.
Swain County ABC funds are under the estimated Budget amount as of 9/30/2016.

Expenditures Exceeding 110%

LME Provided Services (168.4%)
Provider Payments (Federal Funds) (151.4%)

There are Leasehold Improvement Expenses in the c3@356 project that do not have a budget at 9/30/2016.
In programs such as GERO and Housing - the Federal Portion is utilized first causing the overutilization as of 9/30/2016.
(This variance will get less and less as the year goes by)

Other Notes

County MOE funds are recorded on an accrual basis - therefore the amount shown in the report above may not reflect the actual amount of funds received from each county.

If County Funds of less than 25% of the Annual Budgeted amount have been received - the remaining has been accrued and is considered a receivable at 9/30/2016.

If County MOE funds in excess of 25% of the Annual Budgeted amount have been received - the excess has been deferred and not reflected as income as of 9/30/2016.

Please note that any ABC funds collected will be shown in the same line as MOE funds for that county. ABC Funds are recorded on a Cash Basis.

The reason that the Unrestricted/Unassigned Fund Balance at the beginning of FY 15-16 is so much lower than Unrestricted/Unassigned Fund Balance at the beginning of FY 16-17 is due to all the Commitments that the Board of Directors for Vaya Health have approved. These amounts have been removed from the Unrestricted/Unassigned Fund Balance Section and are now being considered Board Committed Fund Balance.